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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

FORM 3X	For Other Than An Au	thorized Committee		Office Use Only
1. NAME OF COMMITTEE (in full)	USE FEC MAILING LABEL OR TYPE OR PRINT ₩	Example:If typing, typ	oe .	
Securities Industry and Fi	nancial Markets Association Polit	tical Action Committee		
ADDRESS (number and street)	1101 New York Avenue, I	NW 		
Check if different than previously reported. (ACC)	8th Floor Washington		DC L	20005
2. FEC IDENTIFICATION N	IUMBER ♥C	CITY 🛋	STATE	ZIPCODE 🛕
C00431312	3.	IS THIS REPORT X NEW (N)	OR AM	IENDED
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report July 15 Quarterly Report October 15	rt(Q1) (c) 12-Day	lar 20 (M3) Jun	20 (M6) Sep 2 20 (M7) Oct 2 General (1	
Quarterly Repor January 31 Quarterly Repor July 31 Mid-Yea Report(Non-elec Year Only) (MY) Termination Rep	rt(YE) Electron (d) 30-Day Post -Election Report for the:	General (30G)	Runoff (3	in the State of Special (30S)
(TER)		etion on		in the State of
5. Covering Period	07 01 2010	through	07 31	2010
Type or Print Name of Treasur		_	e, correct and complete. Date 0 8	20 2010
	erroneous, or incomplete informat	ion may subject the person s	signing this Report to the	penalties of 2 LLS C 437a
Office Use	Toncous, or mooniplete infolliat	lon may subject the persons	righting this report to the	FEC FORM 3X (Rev. 12/2004)

FE6AN026

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

2/61

Write or Type Committee Name

FEC Form 3X (Rev. 02/2003)

Securities Industry and Financial Markets Association Political Action Committee

D D " D 3 1 07 0 1 2010 07 2010 From: Report Covering the Period: To: **COLUMN A COLUMN B This Period** Calendar Year-to-Date (a) Cash on Hand 2010° 111977.94 January 1 (b) Cash on Hand at 174334.75 Begining of Reporting Period 79796.85 260201.44 (c) Total Receipts (from Line 19) (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 254131.60 372179.38 6(a) and 6(c) for Column B) 31748.36 149796.14 Total Disbursements (from Line 31) Cash on Hand at Close of Reporting Period 222383.24 222383.24 (subtract Line 7 from Line 6(d)) 9. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D)

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

FE6AN026

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004) 3 / 61

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

м м 0 7

Report Covering the Period:

From:

D D 0 1

2010

Γο:

М М

^D 31

Y Y Y Y 2 0 1 0

I. Receipts		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
	s (other than loans) From: uals/Persons Other		
	olitical Committees mized (use Schedule A)	55019.85	181560.50
(ii) Un	itemized	20528.64	31844.80
	TAL (add es 11(a)(i) and (ii)	75548.49	213405.30
	I Party Committees	0.00	0.00
(such a	Political Committees as PACs)ontributions (add Lines	4000.00	39000.00
	i),(b) and (c)) (Carry to Line 33, page 5)	79548.49	252405.30
	rom Affiliated/Other nittees	0.00	0.00
3. All Loans Re	eceived	0.00	0.00
	ments Received Derating Expenditures	0.00	0.00
(Carry Total	ebates, etc.) s to Line 37, page 5) Contributions Made	248.36	2796.14
	andidates and Other nmittees	0.00	5000.00
Other Feder (Dividends,	al Receipts Interest, etc.)	0.00	0.00
8. Transfers fr	om Non-Federal and Levin Funds		
` '	eral Account chedule H3)	0.00	0.00
(b) Levin Fu	nds (from Schedule H5)	0.00	0.00
(c) Total Tra	nsfer (add 18(a) and 18(b)).	0.00	0.00
-	ts (add Lines 11(d), 5, 16, 17, and 18(c))	79796.85	260201.44
0. Total Federa (subtract Lin	l Receipts e 18(c) from Line 19)	79796.85	260201.44

DETAILED SUMMARY PAGE

FEC Form 3X (Rev. 02/2003)

of Disbursements

4 / 61

	II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21.	Operating Expenditures: (a) Shared Federal/Non-Federal		
	Activity (from Schedule H4)	0.00	0.00
	(i) Federal Share		
	(ii) Non-Federal Share	0.00	0.00
	(b) Other Federal Operating	248.36	2796.14
	Expenditures(c) Total Operating Expenditures	2-10.00	2730.14
	(add 21(a)(i), (a)(ii) and (b))	248.36	2796.14
22.	Transfers to Affiliated/Other Party	0.00	0.00
23.	Committees	0.00	0.00
	Federal Candidates/Committeesand Other Political Committees	31500.00	147000.00
4.	Independent Expenditure	0.00	0.00
5.	(use Schedule E)	0.00	0.00
	Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26.	Loan Repayments Made	0.00	0.00
7	Loans Made	0.00	0.00
	Refunds of Contributions To:	0.00	0.00
	(a) Individuals/Persons Other Than Political Committees	0.00	0.00
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees		
	(such as PACs)	0.00	0.00
	(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00
	(add Lines 20(a), (b), and (c))		
29.	Other Disbursements	0.00	0.00
80.	Federal Election Activity (2 U.S.C 431(20))		
	(a) Shared Federal Election Activity		
	(from Schedule H6)	0.00	0.00
	(i) Federal Share		
	(ii) "Levin" Share	0.00	0.00
	(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
	(c) Total Federal Election Activity (add	0.00	0.00
	Lines 30(a)(i), 30(a)(ii) and 30(b))		
31.	Total Disbursements (add Lines 21(c), 22,		
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	31748.36	149796.14
32.	Total Federal Disbursements		
	(subtract Line 21(a)(ii) and Line 30(a)(ii)		
	from Line 31)	31748.36	149796.14

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003) 5 / 61

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	79548.49	252405.30
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	79548.49	252405.30
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	248.36	2796.14
7. Offsets to Operating Expenditures (from Line 15, page 3)	248.36	2796.14
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

FE6AN026

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 6 / 61 (check only one) X 11a
or f	y information copied from such Reports and S or commercial purposes, other than using the NAME OF COMMITTEE (In Full)	name and ad	dress of any political committee to	solicit contributions from such committee.
	Securities Industry and Financial Mark	ets Associa	tion Political Action Committ	tee
	Full Name (Last, First, Middle Initial) Mr. Randolph C. Snook			Date of Receipt
	Mailing Address 74 Beverly Drive			07 01 2010
	City Perparde villa	State NJ	Zip Code	Transaction ID: 18388115
	Bernardsville FEC ID number of contributing federal political committee.	C	07924-1302	Amount of Each Receipt this Period 2500.00
	Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)		e VP, US Business Policies & Year-to-Date ▼ 2500.00	& P Contribution
3.	Full Name (Last, First, Middle Initial) Ms Kim Chamberlain Mailing Address 15 White Oak Ridge C	Court		Date of Receipt
	Walling Address 13 Writte Oak Huge C			07 01 2010
	City	State	Zip Code	Transaction ID: 18388124
	Mendham FEC ID number of contributing federal political committee.	C	07945-2931	Amount of Each Receipt this Period
	Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	, '	n g Director & Counsel, State e Year-to-Date ▼ 1000.00	Gov
	Full Name (Last, First, Middle Initial) Mr. Richard Tang			Date of Receipt
	Mailing Address 600 Washington Blvd			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18388135
	Stamford FEC ID number of contributing federal political committee.	CT	06901-3726	Amount of Each Receipt this Period 1000.00
	Name of Employer RBS Securities	Occupatio Managin	n g Director	7
	Receipt For: Primary General Other (specify) ▼	, ' 	e Year-to-Date ▼ 1000.00	Contribution
sı	JBTOTAL of Receipts This Page (optional)			4500.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7 / 61 (check only one) X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements mand add	y not be sold or used by any pers dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Securities Industry and Financial Mar	rkets Associa	tion Political Action Commit	tee
Full Name (Last, First, Middle Initial) Mr. Thomas F Price			Date of Receipt
Mailing Address 585 Farview Avenue			$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$
City Wyckoff	State NJ	Zip Code 07481-1141	Transaction ID: 18388353 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		38.50
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	_ '	g Director e Year-to-Date ▼	Contribution
Full Name (Last, First, Middle Initial) Ms Elizabeth Varley Mailing Address 8424 Frost Way	<u>'</u>		Date of Receipt 0 7 0 2 2 0 1 0
City	State	Zip Code	Transaction ID: 18388354
Annandale	VA	22003-2221	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		96.20
Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼	_ ' <u> </u>	g Director e Year-to-Date ▼ 1250.60	Contribution
Full Name (Last, First, Middle Initial) Ms. Margaret Simmons			Date of Receipt
Mailing Address 4743 Bradley Blvd. Number 304			0 7 0 2 2 0 1 0
City	State	Zip Code	Transaction ID: 18388356
Chevy Chase FEC ID number of contributing federal political committee.	C	20815	Amount of Each Receipt this Period 96.20
Name of Employer Securities Industry and Financial Mark Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	-, '	ng Director e Year-to-Date ▼ 1250.60	Contribution
SUBTOTAL of Receipts This Page (optional)			230.90

	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8 / 61 (check only one) X 11a
A 0	ny information copied from such Reports and S r for commercial purposes, other than using the	Statements may e name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	kets Associa	tion Political Action Commit	tee
۷.	Full Name (Last, First, Middle Initial) Mr. John Hallmark			Date of Receipt
	Mailing Address 1101 New York Ave, N 8th Floor	1W		07 02 7 2010
	City	State	Zip Code	Transaction ID: 18388359
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		38.50
	Name of Employer Securities Industry and Financial Mark	Occupatio Managin	n g Director	
	Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 462.00	Contribution
_	Full Name (Last, First, Middle Initial)	0 0	0 0 0 0 0 0 0	1
	Ms. N. Ellen McCarthy			Date of Receipt
	Mailing Address 1101 New York Ave, N 8th Floor		7:n Oada	07 02 2010
	City Washington	State DC	Zip Code 20005-4269	Transaction ID: 18388361
	FEC ID number of contributing federal political committee.	C	20003-4209	Amount of Each Receipt this Period 38.50
	Name of Employer Securities Industry and Financial Mark	Occupatio Managin	n g Director	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		462.00	Contribution
. –	Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.			Date of Receipt
	Mailing Address 1101 New York Ave, N 8th Floor	١W		07 02 7 2010
	City	State	Zip Code	Transaction ID: 18388363
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		192.35
	Name of Employer Securities Industry and Financial Mark	Occupatio EVP, Pul	n blic Policy and Advocacy	
	Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 2500.55	Contribution
Γ	SUBTOTAL of Receipts This Page (optional)	1		269.35

	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 9 / 61 (check only one) X 11a
C	ny information copied from such Reports and Si r for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	tatements ma name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions a solicit contributions from such committee.
	Securities Industry and Financial Mark	ets Associa	tion Political Action Committ	tee
۱.	Full Name (Last, First, Middle Initial) Marin E. Gibson			Date of Receipt
	Mailing Address 796 Port Monmouth Ro	J.		07 02 7 2010
	City Port Monmouth	State NJ	Zip Code	Transaction ID: 18388365
	FEC ID number of contributing federal political committee.	C	07758-1676	Amount of Each Receipt this Period 57.70
	Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼		g Director & Counsel e Year-to-Date ▼ 750.10	Contribution
- 3.	Full Name (Last, First, Middle Initial) Mr. Carter McDowell			Date of Receipt
	Mailing Address 1101 New York Ave, N 8th Floor	W		$ \begin{bmatrix} M & M & M \\ O & 7 \end{bmatrix} / \begin{bmatrix} D & D \\ O & 2 \end{bmatrix} / \begin{bmatrix} Y & Y & Y & Y \\ 2 & 0 & 1 & 0 \end{bmatrix} $
	City	State	Zip Code	Transaction ID: 18388367
	Washington FEC ID number of contributing federal political committee.	C	20005-4269	Amount of Each Receipt this Period
	Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify) ▼		n lanaging Director e Year-to-Date ▼ 1300.00	Contribution
_	Full Name (Last, First, Middle Initial)			
•	Mr. Carroll Ann Smith Mailing Address 905 Lake Lily Drive Apt. C421			Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18395863
	Maitland	<u>FL</u>	32751-7637	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Pershing LLC	Occupation Director	n	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	Contribution
	SUBTOTAL of Receipts This Page (optional)			407.70

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 10 / 61 (check only one) X 11a 11b 11c 12 13 14 15 16
An or f	y information copied from such Reports and Si for commercial purposes, other than using the	tatements may name and add	y not be sold or used by any perso dress of any political committee to	
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	ets Associa	tion Political Action Committ	ee
/	Full Name (Last, First, Middle Initial) Mr. Russell Heise			Date of Receipt
	Mailing Address 430 Madison Street			0 7 0 1 2 0 1 0
	City Denver	State CO	Zip Code 80206-4439	Transaction ID: 18395866 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer RBC Capital Markets	Occupation Senior V	n ice President	
	Receipt For: Primary General Other (specify) ▼		e Year-to-Date ▼ 500.00	Contribution
	Full Name (Last, First, Middle Initial) Mr. Matt Boles			Date of Receipt
	Mailing Address 4659 Belclaire Avenue			M M / D D / Y Y Y Y Y O D O D / 2 0 1 0
	City	State	Zip Code	Transaction ID: 18395867
	Dallas	TX	75209-6003	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer RBC Capital Markets	Occupation	n g Director	
	Receipt For:		e Year-to-Date ▼	
	Primary General Other (specify) ▼	0 0	500.00	Contribution
	Full Name (Last, First, Middle Initial) Mr. Tully C. Tupper			Date of Receipt
	Mailing Address 13912 Riverbirch Trail			07 07 2010
	City	State	Zip Code	Transaction ID: 18414971
	Midlothian	VA	23112-4639	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Scott & Stringfellow, Inc.	Occupation Private V	n Vealth Management	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	Contribution
	JBTOTAL of Receipts This Page (optional)			1250.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 / 61 (check only one) X
Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar			
Coccurries industry and i mariolal ividi	1013 / 1330014	tion i diffical Action Commit	
Full Name (Last, First, Middle Initial) Mr. Charles W. Hubbard			Date of Receipt
Mailing Address 2400 Butternut Drive			07 07 2010
City	State	Zip Code	Transaction ID: 18414974
Hillsborough	CA	94010-6202	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer RBC Wealth Management	Occupation Regional		
Receipt For:	, ' <u> </u>	e Year-to-Date ▼	
Primary General Other (specify) ▼	0 0	500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Scott Eichel			Date of Receipt
Mailing Address 12 Heathcote Road			07 06 YYYYY 2010
City	State	Zip Code	Transaction ID: 18415315
Scarsdale	NY	10583-4416	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		1500.00
Name of Employer RBS Securities	Occupation Managing	n g Director	
Receipt For:	Aggregate	e Year-to-Date 🔻	
Primary General Other (specify) ▼		1500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Jon Scheller	1		Date of Receipt
Mailing Address 46 Clair Street			07 02 YYYYY 07 02 2010
City	State	Zip Code	Transaction ID: 18415680
Bloomfield	NJ	07003-4137	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer Pershing LLC	Occupation Managing	n g Director - People Services	
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
	•		2500.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 12 / 61 (check only one) X
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	ne name and addre	ess of any political committee to	o solicit contributions from such committee.
		- Charact Action Committee	
Full Name (Last, First, Middle Initial) Mr. Michael Kollender			Date of Receipt
Mailing Address 98 O'Shaughnessy La	ane		07 18 2010
City	State	Zip Code	Transaction ID: 18438121
Closter	NJ	07624-3214	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation Managing	Director	
y, Incorporat Receipt For:		'ear-to-Date ▼	
Primary General Other (specify) ▼		250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Larry J.K. Goeas			Date of Receipt
Mailing Address 45-166 Ikenakai Stree	et		07 16 2010
City	State	Zip Code	Transaction ID: 18438140
Kaneohe	HI	96744-5324	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation Senior Vice	e President/Branch Manag	ner .
y, Incorporat Receipt For:	-, ·	'ear-to-Date ▼	190
Primary General Other (specify) ▼		250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Daniel Heimowitz			Date of Receipt
Mailing Address 136 East 79th Street			M M / D D / Y Y Y Y Y O D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 18438152
New York	NY	10075-0328	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer RBC Capital Markets	Occupation Managing	Director	
Receipt For: Primary General Other (specify) ▼	_ 	/ear-to-Date ▼ 500.00	Contribution
			1000.00

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 13 / 61 (check only one) X
Any information copied from such Reports and or for commercial purposes, other than using t	I Statements may not be sold or used by any person He name and address of any political committee to	
NAME OF COMMITTEE (In Full)	arkets Association Political Action Commit	
Full Name (Last, First, Middle Initial) Mr. Robert B. Archibald		Date of Receipt
Mailing Address 294 Paraiso Drive		0 7 1 4 Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 18438153
<u>Danville</u>	CA 94526-4950	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer RBC Capital Markets	Occupation Director Institutional Sales	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Daniel B. Burton		Date of Receipt
Mailing Address 634 Northfield Road		07 13 7 2010
City	State Zip Code	Transaction ID: 18438154
<u>Lititz</u>	PA 17543-8355	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer RBC Capital Markets	Occupation Managing Director	7
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. James J. Tricolli	 	Date of Receipt
Mailing Address 709 Great Springs R	load	07 13 2010
City	State Zip Code	Transaction ID: 18438155
Bryn Mawr	PA 19010-1703	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer RBC Capital Markets	Occupation Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	Contribution
CURTOTAL of Possints This Page (entianal)		2000.00

	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 14 / 61 (check only one) X 11a 11b 11c 12 13 14 15 16 1
A	ny information copied from such Reports and r for commercial purposes, other than using th	Statements mage name and add	y not be sold or used by any perso dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) > Securities Industry and Financial Mar	kets Associa	tion Political Action Committe	ee
	Full Name (Last, First, Middle Initial) Mr. Robert E. Spangler			Date of Receipt
	Mailing Address 61 Bridle Path Lane			07 12 YYYY 2010
	City	State	Zip Code	Transaction ID: 18438161
	New Canaan	CT	06840-3906	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer RBC Capital Markets	Occupatio Managin	n g Director	
	Receipt For:	<u> </u>	e Year-to-Date ▼	
	Primary General Other (specify) ▼		1000.00	Contribution
-	Full Name (Last, First, Middle Initial) Mr. Kurt M. Freund			Date of Receipt
	Mailing Address 4849 E. Pebble Ridge	Road		07 08 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18438167
	Paradise Valley	AZ	85253-4079	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer RBC Capital Markets	Occupatio Managin	n g Director	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		1000.00	Contribution
_	Full Name (Last, First, Middle Initial) Mr. Robert S. Allen			Date of Receipt
	Mailing Address 505 August Hills Drive	е		0 7 1 9 2 0 1 0
	City	State	Zip Code	Transaction ID: 18438223
	La Crescent	MN	55947-1066	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	- '	ice President/Branch Manage	er
	Receipt For: Primary General	Aggregate	e Year-to-Date ▼	
	Other (specify)		250.00	Contribution
Г		1		2250.00

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 15 / 61 (check only one) X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports an or for commercial purposes, other than using	d Statements may not be sold or used by any persor the name and address of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financial M	arkets Association Political Action Committe	ее
Full Name (Last, First, Middle Initial) Mr. Charles D. Mayer		Date of Receipt
Mailing Address 300 East 74th Stree Apt. 35A		07 / 19 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City New York	State Zip Code NY 10021-3712	Transaction ID: 18438234 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vice President/Investments	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Chris J. Cerniglia		Date of Receipt
Mailing Address 11902 Lakeshore P	lace	07 19 2010
City	State Zip Code	Transaction ID: 18438245
North Palm Beach	FL 33408-3249	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation	
y, Incorporat Receipt For:	Senior Vice President Aggregate Year-to-Date ▼	-
Primary General		Contribution
Other (specify) ▼	250.00	
Full Name (Last, First, Middle Initial) Mr. Keith Downard		Date of Receipt
Mailing Address 6177 Jamison Place	9	M·M / D·D / Y·Y·Y·Y 07 19 2010
City	State Zip Code	Transaction ID: 18438338
Canfield	OH 44406-9565	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	2500.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vice President/Investments	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 2500.00	Contribution
SUBTOTAL of Receipts This Page (optiona	n.	3000.00

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 16 / 61 (check only one) X 11a
Ar	y information copied from such Reports and S for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	e name and ad	dress of any political committee to	o solicit contributions from such committee.
\angle	Securities industry and i mancial mar	Neis Associa	tion Foilical Action Commit	
۱.	Full Name (Last, First, Middle Initial) Mr. Leland McDonough			Date of Receipt
	Mailing Address 113 Valley Street			07 19 2010
	City	State MA	Zip Code	Transaction ID: 18438343
	Beverly FEC ID number of contributing federal political committee.	C	01915-2223	Amount of Each Receipt this Period 250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupatio Vice Pre		
	Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 250.00	Contribution
_	Full Name (Last, First, Middle Initial) Mr. William M. Greenwood	1		Date of Receipt
	Mailing Address 3516 Northwestern Av	/enue		07 19 2010
	City	State	Zip Code	Transaction ID: 18438345
	Wausau	WI	54403-9260	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupatio Branch N		
	Receipt For:		e Year-to-Date ▼	7
	Primary General Other (specify) ▼		250.00	Contribution
	Full Name (Last, First, Middle Initial) Mr. Matthew E. Power			Date of Receipt
	Mailing Address 403 West Dudley Ave	nue		07 19 2010
	City	State	Zip Code	Transaction ID: 18438349
	Westfield	NJ	07090-4019	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	- '	ice President/Investments	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	Contribution
	UBTOTAL of Receipts This Page (optional) .			750.00

	ULE A (FEC Form 3X ED RECEIPTS	()	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 17 / 61 (check only one) X 11a
or for comm	nercial purposes, other than using	d Statements may the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
1 \	OF COMMITTEE (In Full) ies Industry and Financial M	arkets Associa	tion Political Action Committ	tee
	ne (Last, First, Middle Initial) es H. Williams, Jr.			Date of Receipt
Mailing A	Address 474 Miller Road			07 19 7 2010
City Frankli	n	State PA	Zip Code 16323-3534	Transaction ID: 18438350 Amount of Each Receipt this Period
FEC ID	number of contributing political committee.	C	10000	250.00
Name of Stifel, N y, Incorp	Employer icolaus & Compan-	Occupatio Branch N		7
Receipt Pr		- '	e Year-to-Date ▼ 250.00	Contribution
Mr. Jame	ne (Last, First, Middle Initial) es L. Johnson, III			Date of Receipt
	Address 2 Oakleigh Lane			07 19 2010
City		State	Zip Code	Transaction ID: 18438351
	number of contributing political committee.	MO C	63124-1360	Amount of Each Receipt this Period 250.00
Name of Stifel, N y, Incorp	Employer icolaus & Compan- porat	Occupatio Senior V	n ice President/Investments	
Receipt Pr	For: imary General her (specify)	Aggregate	e Year-to-Date ▼ 250.00	Contribution
	ne (Last, First, Middle Initial) n D. Lawson	·		Date of Receipt
Mailing A	Address 64 Davis Street			07 19 2010
City		State	Zip Code	Transaction ID: 18438352
Bingha	mton number of contributing	NY	13905-4238	Amount of Each Receipt this Period
federal p	political committee.	C		250.00
Name of Stifel, N y, Incorp Receipt		Occupatio Branch N	Manager	
Pr	For: imary General her (specify) ♥	Aggregate	e Year-to-Date ▼ 250.00	Contribution
CURTOTA	L of Receipts This Page (optional	<u> </u>		750.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 18 / 61 (check only one) X 11a
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	he name and add	dress of any political committee to	o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Brian Johnson			Date of Receipt
Mailing Address 101 Walker Way Blv	d, #B-5		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 18438353
Walker	MN	56484-1237	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation SVP, Bra	n nch Manager	
Receipt For:	Aggregate	Year-to-Date ▼	
Primary General Other (specify) ▼	0 0	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Norman H. Raymond			Date of Receipt
Mailing Address 9 Holmehill Lane			0 7 1 9 2 0 1 0
City	State	Zip Code	Transaction ID: 18438354
Roseland	NJ	07068-1422	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y. Incorporat	Occupation Manager	١	
Receipt For:	Aggregate	Year-to-Date ▼	
Primary General Other (specify) ▼		250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. John Russell	1		Date of Receipt
Mailing Address 232 N. Kingshigway	Ave, #2502		0 7 1 9 2 0 1 0
City	State	Zip Code	Transaction ID: 18438674
Saint Louis	MO	63108	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vi	n ce President	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 500.00	Contribution

	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 19 / 61 (check only one) X 11a
0	ny information copied from such Reports and S r for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements ma e name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Securities Industry and Financial Mark	kets Associa	tion Political Action Committ	tee
۸.	Full Name (Last, First, Middle Initial) Mr. Barry Bannister			Date of Receipt
	Mailing Address 2715 Heavenwood Co		7:- Onda	07 19 2010
	City Ellicott City	State MD	Zip Code 21042-2008	Transaction ID: 18438695 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	21042 2000	250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Equity A	nalyst	
	Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 250.00	Contribution
. –	Full Name (Last, First, Middle Initial) Mr. Mitchel C. Peterson	1		Date of Receipt
	Mailing Address 2911 Valley View Roa	d		07 19 7 2010
	City	State	Zip Code	Transaction ID: 18438696
	Ames FEC ID number of contributing	C	50014-4512	Amount of Each Receipt this Period 250.00
	federal political committee.			
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Branch M		
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		250.00	Contribution
_	Full Name (Last, First, Middle Initial) Mr. Robert Stockdale	1		Date of Receipt
	Mailing Address 502 Waterside Ct.			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18438700
	Saint Charles	MO	63304-1231	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Branch N	Manager	
	Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 250.00	Contribution
	SUBTOTAL of Receipts This Page (optional)	•		750.00

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	·)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 20 / 61 (check only one) X 11a
Any information copied from such Reports and or for commercial purposes, other than using	d Statements may the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	arkets Associa	tion Political Action Commit	tee
Full Name (Last, First, Middle Initial) Mr. Wallace Tart			Date of Receipt
Mailing Address 409 Taylor Ave			0 7 1 9 2 0 1 0
City	State	Zip Code	Transaction ID: 18438706
Annapolis	MD	21401-2331	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Vice Pres		
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Robert Kuech			Date of Receipt
Mailing Address 6608 Via De La Rein	na		07 19 2010
City	State	Zip Code	Transaction ID: 18438773
Bonsall	CA	92003-7007	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Vice Pres	n sidents/Investments	
Receipt For:	Aggregate	e Year-to-Date ▼	_
Primary General Other (specify) ▼	0 0	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. William Allison, Jr.			Date of Receipt
Mailing Address 4832 Kendall Avenu	ie		07 19 2010
City	State	Zip Code	Transaction ID: 18439716
Gulfport	MS	39507-4407	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	- + +	sident/Investments	
Receipt For: Primary General	Aggregate	e Year-to-Date ▼	Combribution
Other (specify)		250.00	Contribution
SUBTOTAL of Receipts This Page (optional	<u> </u>		1000.00

SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS	3X)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 21 / 61 (check only one) X
Any information copied from such Reports or for commercial purposes, other than usin NAME OF COMMITTEE (In Full)	and Statements may ng the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions
Securities Industry and Financial	Markets Associat	tion Political Action Committ	tee
Full Name (Last, First, Middle Initial) Mr. Bruce Frankenberg			Date of Receipt
Mailing Address 20142 Deer Chas	e Court		07 19 2010
City	State IL	Zip Code	Transaction ID: 18439848
Barrington FEC ID number of contributing federal political committee.	C	60010-3653	Amount of Each Receipt this Period 100.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Managin	n g Director	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 350.00	Contribution
Full Name (Last, First, Middle Initial) Mr. J.B. Frailey	 		Date of Receipt
Mailing Address 4431 Saddleridge	Farm Drive		07 19 2010
City	State	Zip Code	Transaction ID: 18440284
Saint Louis	MO	63129-6317	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation	n : Vice President	
<u>y, Incorporat</u> Receipt For:		Year-to-Date	_
Primary General Other (specify) ▼	Aggregate	250.00	Contribution
Full Name (Last, First, Middle Initial) Ms. Deborah L. Pederson			Date of Receipt
Mailing Address 1736 Know Avenu	ue South		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 18440307
<u>Minneapolis</u>	MN	55403-2833	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat		e Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.00	Contribution
SUBTOTAL of Receipts This Page (optio	nal)		600.00

	EDULE A (FEC Form 3X) IZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 22 / 61 (check only one) X 11a
or for co	ormation copied from such Reports and ommercial purposes, other than using the OF COMMITTEE (In Full)	Statements ma e name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Sec	curities Industry and Financial Mar	kets Associa	tion Political Action Committ	tee
Mr. I	Name (Last, First, Middle Initial) Paul Carothers			Date of Receipt
	ing Address 14006 Montrachet La		7:n Oada	07 19 2010
City Che	esterfield	State MO	Zip Code 63017-8320	Transaction ID: 18440318 Amount of Each Receipt this Period
FEC	ID number of contributing ral political committee.	C		500.00
y, In	ne of Employer el, Nicolaus & Compan- corporat	Occupation Branch M		
Rec	eipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
	Name (Last, First, Middle Initial) Thomas Schrader	1		Date of Receipt
Maili 	ing Address 46 Springhill Farm Co	ourt		07 19 2010
City		State	Zip Code	Transaction ID: 18440326
FEC	ckeysville ID number of contributing ral political committee.	MD C	21030-1400	Amount of Each Receipt this Period 250.00
Stife	ne of Employer el, Nicolaus & Compan- corporat	Occupation Managin	n g Director	
Rec	eipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	Contribution
	Name (Last, First, Middle Initial) Paul J. Cassidy	1		Date of Receipt
Maili	ing Address 6200 Uptown Bouleva Suite 110	ard Northeast	i .	07 20 7 2010
City		State	Zip Code	Transaction ID: 18440764
FEC	uquerque ID number of contributing ral political committee.	C	87110-4160	Amount of Each Receipt this Period 500.00
Nam RBC	ne of Employer C Capital Markets	Occupatio Managin	n g Director	7
Rec	eipt For: Primary General Other (specify) ▼	, ' 	e Year-to-Date ▼ 500.00	Contribution
SUBTO	OTAL of Receipts This Page (optional) .	1		1250.00

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	^)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 23 / 61 (check only one) X 11a
Any information copied from such Reports at or for commercial purposes, other than using	nd Statements may the name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financial M	Markets Associa	tion Political Action Committ	tee
Full Name (Last, First, Middle Initial) Ms. Kathleen A. Costine			Date of Receipt
Mailing Address 200 Vesey Street,	12th Floor		07 20 2010
City	State	Zip Code	Transaction ID: 18440888
New York FEC ID number of contributing federal political committee.	C	10285-1000	Amount of Each Receipt this Period 500.00
Name of Employer RBC Capital Markets	Occupation Senior M	n anaging Director	7
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Todd I. Braff			Date of Receipt
Mailing Address 189 Green Hills Ro	pad		0 7 2 0 Y Y Y Y Y
City Cincinnati	State OH	Zip Code 45208-3421	Transaction ID: 18440890
FEC ID number of contributing federal political committee.	C	43200-3421	Amount of Each Receipt this Period 500.00
Name of Employer RBC Capital Markets	Occupation	n g Director	
Receipt For: Primary General Other (specify) ▼		e Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Thomas F Price			Date of Receipt
Mailing Address 585 Farview Avenu	ie		0 7 1 6 2 0 1 0
City	State	Zip Code	Transaction ID: 18440897
Wyckoff FEC ID number of contributing	NJ	07481-1141	Amount of Each Receipt this Period
federal political committee.	C		38.50
Name of Employer Securities Industry and <u>Financial Mark</u> Receipt For:	<u> </u>	n g Director • Year-to-Date ▼	
Primary General Other (specify) ▼	, iggi ogalic	539.00	Contribution
SUBTOTAL of Receipts This Page (optional			1038.50

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	^)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 24 / 61 (check only one)
Any information copied from such Reports at or for commercial purposes, other than using	nd Statements may	y not be sold or used by any person	on for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)	y mo namo ana aa	srood or arry pointion continues to	y color contributions from cuch committee.
Securities Industry and Financial M	Markets Associa	tion Political Action Commit	tee
Full Name (Last, First, Middle Initial) Ms Elizabeth Varley			Date of Receipt
Mailing Address 8424 Frost Way			07 16 2010
City	State	Zip Code	Transaction ID: 18440902
Annandale	VA	22003-2221	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		96.20
Name of Employer Securities Industry and Financial Mark	Occupatio Managin	n g Director	
Receipt For:	Aggregate	e Year-to-Date ▼	
Primary General Other (specify) ▼		1346.80	Contribution
Full Name (Last, First, Middle Initial) Ms. Margaret Simmons	I		Date of Receipt
Mailing Address 4743 Bradley Blvd. Number 304			07 16 2010
City	State	Zip Code	Transaction ID: 18440904
Chevy Chase	MD	20815	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		96.20
Name of Employer Securities Industry and	Occupatio		
Financial Mark Receipt For:	_ +	ng Director	_
Primary General	Aggregate	Year-to-Date ▼	Contribution
Other (specify) ▼	0 0	1346.80	Contribution
Full Name (Last, First, Middle Initial) Mr. John Hallmark			Date of Receipt
Mailing Address 1101 New York Ave 8th Floor	e, NW		0 7 1 6 Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 18440908
Washington	DC	20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		38.50
Name of Employer Securities Industry and	Occupatio		
Financial Mark	<u> </u>	g Director	_
Receipt For: Primary General	Aggregate	e Year-to-Date ▼	
Other (specify)		500.50	Contribution
SUBTOTAL of Receipts This Page (optional	<u> </u>		230.90

ITEMIZE	D RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 25 / 61 (check only one) X 11a
or for comme	rcial purposes, other than using t COMMITTEE (In Full)	he name and ad	dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Securitie	es Industry and Financial Ma	irkets Associa	tion Political Action Commit	tee
Ms. N. Elle	(Last, First, Middle Initial) n McCarthy			Date of Receipt
Mailing Ac	ldress 1101 New York Ave, 8th Floor	NW		0 7 1 6 2 0 1 0
City	01111001	State	Zip Code	Transaction ID: 18440912
<u>Washing</u>	gton	DC	20005-4269	Amount of Each Receipt this Period
	umber of contributing litical committee.	C		38.50
<u>Financial</u>		Occupatio Managin	n g Director	
Receipt Fo		Aggregate	e Year-to-Date ▼ 500.50	Contribution
	(Last, First, Middle Initial) th E. Bentsen, Jr.	 		Date of Receipt
Mailing Ac	ldress 1101 New York Ave, 8th Floor			07 16 2010
City Washing	aton	State DC	Zip Code 20005-4269	Transaction ID: 18440915
FEC ID no	umber of contributing litical committee.	C	20003-4209	Amount of Each Receipt this Period 192.35
Name of E Securities Financial Receipt Fo			on blic Policy and Advocacy e Year-to-Date ▼	
Prim Othe	nary General er (specify) ♥		2692.90	Contribution
Full Name	(Last, First, Middle Initial) ibson	I		Date of Receipt
Mailing Ac	Idress 796 Port Monmouth	Rd.		07 16 2010
City		State	Zip Code	Transaction ID: 18440917
Port Mor	nmouth	NJ	07758-1676	Amount of Each Receipt this Period
	umber of contributing litical committee.	C		57.70
Name of E Securities Financial Receipt Fo		_ · · · · · · ·	g Director & Counsel	
Prim		Aggregate	e Year-to-Date ▼ 807.80	Contribution
SUBTOTAL	of Receipts This Page (optional)			288.55

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 26 / 61 (check only one) X
Any information copied from such Reports a or for commercial purposes, other than usin	and Statements may not be sold or used by any persog the name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financial N	Markets Association Political Action Commit	tee
Full Name (Last, First, Middle Initial) Mr. Carter McDowell		Date of Receipt
Mailing Address 1101 New York Av 8th Floor	ve, NW	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Washington	State Zip Code DC 20005-4269	Transaction ID: 18440922 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer Securities Industry and	Occupation Senior Managing Director	
Financial Mark Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1400.00	Contribution
Full Name (Last, First, Middle Initial) Ms. Helen Feinberg		Date of Receipt
Mailing Address 702 Pass-a-Grille	Way	07 21 2010
City	State Zip Code	Transaction ID: 18440924
St. Pete Beach	FL 33706	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer RBC Capital Markets	Occupation Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Michael E. Bosway		Date of Receipt
Mailing Address 5580 Washington	Blvd.	07 21 2010
City	State Zip Code	Transaction ID: 18440937
<u>Indianapolis</u>	IN 46220-3030	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer City Securities Corporati- on	Occupation President and CEO	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
	nal)	1100.00

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 27 / 61 (check only one) X
Any information copied from such Reports ar	nd Statements may not be sold or used by any person the name and address of any political committee to	
NAME OF COMMITTEE (In Full)	larkets Association Political Action Committee	
<u> </u>		
Full Name (Last, First, Middle Initial) Mr. John Puig		Date of Receipt
Mailing Address 4 Chestnut Hill Roa		07 21 2010
City	State Zip Code	Transaction ID: 18440942
Loudonville	NY 12211-1661	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer RBC Capital Markets	Occupation Managing Director	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. J. David Crawford		Date of Receipt
Mailing Address 212 Ellicot Road		07 23 7 2010
City	State Zip Code	Transaction ID: 18442338
Centerville	MA 02632-3659	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Branch Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Thomas L. Sickler		Date of Receipt
Mailing Address 1505 E. Tufts Aven	ue	07 23 Y Y Y Y Y
City	State Zip Code	Transaction ID: 18442346
Englewood	CO 80113-5951	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation SVP/Investments	
Receipt For: Primary General Other (specify) ♥	Aggregate Year-to-Date ▼ 500.00	Contribution
CURTOTAL of Descripto This Descriptors	ul)	1250.00

SCHEDULE A (FEC Forn ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 28 / 61 (check only one) X 11a 11b 11c 12 15 16 16
	orts and Statements may not be sold or used by any person using the name and address of any political committee to s	
NAME OF COMMITTEE (In Full) Securities Industry and Finance	ial Markets Association Political Action Committe	е
Full Name (Last, First, Middle Initial) Mr. John M. Surgant, Jr.		Date of Receipt
Mailing Address 9840 Waringto	·	07 23 7 2010
City <u>Saint Louis</u>	State Zip Code MO 63141-7909	Transaction ID: 18442362 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	195.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vice President/Investments	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 385.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Stephen E. Shevlin		Date of Receipt
Mailing Address 7 Dogwood Es	t	07 23 7 2010
City	State Zip Code	Transaction ID: 18442365
Glen Carbon	IL 62034-1530	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation Senior Vice President/Branch Manager	,
<u>y, Incorporat</u> Receipt For:	Aggregate Year-to-Date ▼	'
Primary General		Contribution
Other (specify) ▼	1000.00	
Full Name (Last, First, Middle Initial) Mr. William H. Olsen		Date of Receipt
Mailing Address 11650 Calle Ja	velina	07 23 YYYY 2010
City	State Zip Code	Transaction ID: 18442370
Tucson	AZ 85748-8355	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation First Vice President/Branch Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	Contribution
OUDTOTAL of Descripts This Descript	otional)	1445.00

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	^)	Use separate schedule(s) for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports are or for commercial purposes, other than using	nd Statements may g the name and add	y not be sold or used by any persor dress of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financial M	/larkets Associa	tion Political Action Committe	ee
Full Name (Last, First, Middle Initial) Mr. James C. Citro			Date of Receipt
Mailing Address 417 S. 24th			07 22 2010
City Quincy	State IL	Zip Code 62301-4428	Transaction ID: 18442371
FEC ID number of contributing federal political committee.	C	02301-4420	Amount of Each Receipt this Period 250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify)	1 1100 110	n sidents/Investments • Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Thomas J. Cavalier Mailing Address 34 Cherry Hill Cour	rt		Date of Receipt
City	State	Zip Code	07 22 2010
Canfield	OH	44406-8638	Transaction ID: 18442373 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		1000.00
Name of Employer Stifel, Nicholaus & Co.,	Occupation	n g Director	
Inc. Receipt For: Primary General Other (specify) ▼	<u>'</u>	Year-to-Date ▼	Contribution
Full Name (Last, First, Middle Initial) Ms. Angela K. Sugimura			Date of Receipt
Mailing Address 21950 The Trails C	ircle		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 18442376
Murrieta	CA	92562-9744	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For:	- ' '	n ice President/Branch Manage • Year-to-Date ▼	er -
Primary General Other (specify) ▼		500.00	Contribution
SUBTOTAL of Receipts This Page (optional			1750.00

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 30 / 61 (check only one) X
Any information copied from such Reports an or for commercial purposes, other than using	d Statements may not be sold or used by any perso the name and address of any political committee to	
NAME OF COMMITTEE (In Full)	arkets Association Political Action Committe	
Full Name (Last, First, Middle Initial) Mr. Gary K. Moss		Date of Receipt
Mailing Address 8445 Coral Reef Co		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 18442394
Indianapolis	IN 46256-9505	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation Senior Vice President/Investments	
y, Incorporat Receipt For:	Aggregate Year-to-Date ▼	7
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Christopher Wasson		Date of Receipt
Mailing Address 38 Springhill Farm (Court	07 20 Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 18442426
Cockeysville	MD 21030-1400	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation Managing Director	
y, Incorporat Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Allen Brautigam		Date of Receipt
Mailing Address 28 Westbury Court		07 20 2010
City	State Zip Code	Transaction ID: 18442452
Skillman	NJ 08558-1726	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
SUBTOTAL of Receipts This Page (optional)	1000.00

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 31 / 61 (check only one) X
Any information copied from such Reports an or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	d Statements may not be sold or used by any person the name and address of any political committee to s	
` '	arkets Association Political Action Committe	e
Full Name (Last, First, Middle Initial) Mr. Gary A. King		Date of Receipt
Mailing Address 18728 S. Mingo City	State Zip Code	07 20 2010
Bixby	OK 74008-6624	Transaction ID: 18442456 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Branch Manager	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Kevin Healy		Date of Receipt
Mailing Address 5 Guardian Court		07
City	State Zip Code	Transaction ID: 18442463
Mount Sinai	NY 11766-2322	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Vice President, Branch Manager	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify)	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Phillip A. Hamilton		Date of Receipt
Mailing Address 7261 Greenway		07 20 YYYYY 20 2010
City	State Zip Code	Transaction ID: 18442469
Saint Louis	MO 63130-4126	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vice President/Branch Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	Contribution
SUBTOTAL of Receipts This Page (optiona)	750.00

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	^)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 32 / 61 (check only one)
Any information copied from such Reports an or for commercial purposes, other than using	d Statements may	y not be sold or used by any persedress of any political committee to	on for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Securities Industry and Financial Management		• •	
Full Name (Last, First, Middle Initial) Mr. Charles D. Vine			Date of Respirat
Mailing Address 203 Saint Andrews			Date of Receipt 0 7 2 0 2 0 1 0
City	State	Zip Code	Transaction ID: 18442590
Cortland FEC ID number of contributing federal political committee.	OH C	44410-8723	Amount of Each Receipt this Period 250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary Other (specify)		n sident/Branch Manager • Year-to-Date ▼	Contribution
Full Name (Last, First, Middle Initial) Mr. James E. Dzikowski Mailing Address One Fox Chase Lan	ne		Date of Receipt
City	State	Zip Code	07 20 2010
<u>Morristown</u>	NJ	07960-4731	Transaction ID: 18442596 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat		ice President	
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Duane K. Thomas			Date of Receipt
Mailing Address 14 Clarkson Farm D	Drive		07 20 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Chesterfield	State MO	Zip Code 63017-7346	Transaction ID: 18442599
FEC ID number of contributing federal political committee.	C	03017-7340	Amount of Each Receipt this Period 500.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupatio Branch N	Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
SUBTOTAL of Receipts This Page (optional)		1000.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 33 / 61 (check only one) X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports and or for commercial purposes, other than using t	I Statements may not be sold or used by any person he name and address of any political committee to	
NAME OF COMMITTEE (In Full)	arkets Association Political Action Committe	
Full Name (Last, First, Middle Initial) Mr. Lawrence R. Delhagen, Jr.		Date of Receipt
Mailing Address 1015 N. 7th Street		07 DDD / YYYY 25 2010
City	State Zip Code	Transaction ID: 18448014
Emmaus	PA 18049-1607	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation Senior Vice President/Investments	
y, Incorporat Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. William H. Parse		Date of Receipt
Mailing Address 3246 Wadsworth		07 24 YYYY 2010
City	State Zip Code	Transaction ID: 18448020
Portage	MI 49024-7843	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Branch Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Paul C. Drueke		Date of Receipt
Mailing Address 8851 River Ridge		07 23 YYYYY 2010
City	State Zip Code	Transaction ID: 18448024
Middleville	MI 49333-9304	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vice President	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	Contribution
		1000.00

	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 34 / 61 (check only one) X
4	ny information copied from such Reports and a r for commercial purposes, other than using the	Statements made and add	y not be sold or used by any persidress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	kets Associa	tion Political Action Commit	tee
۷.	Full Name (Last, First, Middle Initial) Mr. Peter J. Kramer			Date of Receipt
	Mailing Address 24828 Blue Iris Court		7. 0.4	07 23 2010
	City Plainfield	State IL	Zip Code 60585-2934	Transaction ID: 18448026
	FEC ID number of contributing federal political committee.	C	00363-2934	Amount of Each Receipt this Period 251.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼	Occupation Branch Maggregate		Contribution
	Full Name (Last, First, Middle Initial) Mr. Shawn Dralle Mailing Address 90 W. Virginia Avenue	e		Date of Receipt
	City	State	Zip Code	0 7 2 3 2 0 1 0 Transaction ID: 18448032
	Phoenix	AZ	85003-1000	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer RBC Capital Markets	Occupatio Managin	n g Director	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
_	Full Name (Last, First, Middle Initial) Mr. Robert R. Heinze			Date of Receipt
	Mailing Address 30370 Copper Hill Co	urt		07 23 YYYYY 2010
	City	State	Zip Code	Transaction ID: 18448042
	Redlands	CA	92373-7373	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupatio Branch N	Manager	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	Contribution
	SUBTOTAL of Receipts This Page (optional) .			1001.00

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	X)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 35 / 61 (check only one) X
Any information copied from such Reports a or for commercial purposes, other than using	nd Statements may the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Securities Industry and Financial M	/larkets Associa	tion Political Action Committ	ee
Full Name (Last, First, Middle Initial) Nona F. Hasegawa			Date of Receipt
Mailing Address 925 Fourth Avenue	e, Suite 3800		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 18448045
Seattle	WA	98104-1129	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Branch N		
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Michael F. Imhoff			Date of Receipt
Mailing Address 2075 Cherryville Ro	oad		07 26 2010
City	State	Zip Code	Transaction ID: 18449358
Greenwood Village	CO	80121-1508	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation	n g Director	
<u>y, Incorporat</u> Receipt For:		e Year-to-Date ▼	
Primary General Other (specify) ▼	7 iggi ogalo	750.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Raymond J. Palmer			Date of Receipt
Mailing Address 231 Toussaint Land	ding Drive		07 26 YYYY 2010
City	State	Zip Code	Transaction ID: 18449996
Dardenne Prairie	MO	63368-7582	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation First Vice	n e President	
Receipt For: Primary General	Aggregate	Year-to-Date ▼	Contribution
Other (specify) ▼		250.00	
			750.00

SCHEDULE A (FEC Form ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 36 / 61 (check only one) X 11a 11b 11c 12
Any information copied from such Repor or for commercial purposes, other than u	ts and Statements may not be sold or used by any persor sing the name and address of any political committee to	13 14 15 16 1 n for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full)	al Markets Association Political Action Committee	
Full Name (Last, First, Middle Initial) Mr. James R. Livasy		Date of Receipt
Mailing Address 5222 N. MacArt	hur Road	07 26 7 2010
City Forsyth	State Zip Code IL 62535-9709	Transaction ID: 18450006 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vice President/Investments	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Jonathan Scott Larson		Date of Receipt
Mailing Address 658 Sheridan R	oad	$\begin{bmatrix} & M & M & M & J & D & D & J & Y & Y & Y & Y \\ 0 & 7 & & 2 & 6 & & 2 & 0 & 1 & 0 \end{bmatrix}$
City	State Zip Code	Transaction ID: 18450064
Waterloo FEC ID number of contributing federal political committee.	IA 50701-4940	Amount of Each Receipt this Period 250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vice President/Investments	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Murray B. Segal		Date of Receipt
Mailing Address 12420 Clearfalls	s Drive	07 26 2010
City	State Zip Code	Transaction ID: 18450067
Boca Raton FEC ID number of contributing federal political committee.	FL 33428-4845	Amount of Each Receipt this Period 250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vice President/Investments	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	Contribution
SURTOTAL of Receipts This Page (on	tional)	750.00

SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 37 / 61 (check only one) X 11a 11b 11c 12 15 16
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any per name and address of any political committee	
NAME OF COMMITTEE (In Full)		
Securities Industry and Financial Ma	rkets Association Political Action Comm	ittee
Full Name (Last, First, Middle Initial) Mr. Monte P. Custer		Date of Receipt
Mailing Address 80 Saint Andrews Pla		07 26 2010
City	State Zip Code	Transaction ID: 18450070
Rockport	TX 78382-6981	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vice President/Investments	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr David J Gunter		Date of Receipt
Mailing Address 8406 E. Reidsburg R	d	07 26 2010
City	State Zip Code	Transaction ID: 18450084
Clarion	PA 16214-3218	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Branch Manger	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Kurt D. Gilge		Date of Receipt
Mailing Address 3536 NW 3rd Avenue	9	07 26 2010
City	State Zip Code	Transaction ID: 18450085
Camas	WA 98607-9381	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	500.00	Contribution
CURTOTAL of Descript This Description		1000.00

	HEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 38 / 61 (check only one) X 11a
or fo	information copied from such Reports and S or commercial purposes, other than using the	Statements mage name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
\	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	kets Associa	tion Political Action Committ	tee
١	Full Name (Last, First, Middle Initial) Mr. Mark Maroney			Date of Receipt
_	Mailing Address 57 Monmouth Parkwa	у		07 26 7 2010
	City	State	Zip Code	Transaction ID: 18450092
-	Monmouth Beach	NJ	07750-1127	Amount of Each Receipt this Period
	FEC ID number of contributing ederal political committee.	C		1000.00
_	Name of Employer RBC Capital Markets	Occupatio Managin	n g Director & Head Municipal	Dv
F	Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 1000.00	Contribution
	Full Name (Last, First, Middle Initial) Mr. Alan L. Olsen	!		Date of Receipt
_ N	Mailing Address W144 N10668 Lincoln	Drive		07 26 YYYY 2010
	City	State	Zip Code	Transaction ID: 18450116
<u>(</u>	Germantown	WI	53022-4396	Amount of Each Receipt this Period
	FEC ID number of contributing ederal political committee.	C		250.00
7	Name of Employer Stifel, Nicolaus & Compan- /, Incorporat	Occupatio Senior V	n ice President/Investments	
F	Receipt For: Primary General	Aggregate	e Year-to-Date ▼	
	Other (specify)		250.00	Contribution
	Full Name (Last, First, Middle Initial) Mr. John Coutts			Date of Receipt
_	Mailing Address 9498 Dillon Court			07 26 7 2010
	Dity	State	Zip Code	Transaction ID: 18450127
-	<u>Durham</u>	CA	95938-9697	Amount of Each Receipt this Period
	FEC ID number of contributing ederal political committee.	C		250.00
7	Name of Employer Stifel, Nicolaus & Compan- /, Incorporat		anch Manager/Senior Vice Pr	resi
F	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
	BTOTAL of Receipts This Page (optional)			1500.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 39 / 61 (check only one) X
Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma			
Full Name (Last, First, Middle Initial)			
Tracy J. Yanke Mailing Address 7513 Runnel Road			Date of Receipt 0 7 2 6 2 0 1 0
City	State	Zip Code	Transaction ID: 18450132
Bismarck	ND	58503-1526	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Branch M		
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Duncan Lamme			Date of Receipt
Mailing Address 3043 Reba			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 18450140
Houston	TX	77019-6203	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer RBC Capital Markets	Occupation Managing		
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Robert Codignotto			Date of Receipt
Mailing Address 50 Charles Lindberg	Blvd.		0 7 2 7 2 0 1 0
City	State	Zip Code	Transaction ID: 18450148
<u>Uniondale</u>	NY	11553-3627	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Branch M		
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 500.00	Contribution
			1250.00

	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 40 / 61 (check only one) X
,	Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may e name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	kets Associa	tion Political Action Commit	tee
۷.	Full Name (Last, First, Middle Initial) Mr. David P. Lazar			Date of Receipt
	Mailing Address 303 North Ashview La			07 27 2010
	City Greenville	State DE	Zip Code	Transaction ID: 18450195
	FEC ID number of contributing		19807-2170	Amount of Each Receipt this Period
	federal political committee.	C		500.00
	Name of Employer Stifel, Nicolaus & Compan-	Occupatio		
	y, Incorporat Receipt For:	,	g Director e Year-to-Date ▼	_
	Primary General	Aggregate		Contribution
	Other (specify)	0 0	750.00	
_	Full Name (Last, First, Middle Initial) Mr. Joseph Buono			Date of Receipt
	Mailing Address 97 East Mahwah Road	d		07 D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 18450201
	<u>Mahwah</u>	NJ	07430-1402	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Stifel, Nicolaus & Compan-	Occupatio	n estments/Branch Manager	
	<u>y, Incorporat</u> Receipt For:	+ '	e Year-to-Date	
	Primary General	Aggregate		Contribution
	Other (specify)	0 0	500.00	1
_	Full Name (Last, First, Middle Initial)	l		Pate (Partit)
	Mr. Peter D. VanDer Schaaf Mailing Address 1451 Broadview Drive			Date of Receipt
				07 27 2010
	City	State MI	Zip Code	Transaction ID: 18450225
	Jenison		49428-8542	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupatio Senior V	n ice President/Investments	
	Receipt For: Primary General	Aggregate	e Year-to-Date ▼	
	Other (specify)		250.00	Contribution
Г	SUBTOTAL of Receipts This Page (optional)			1250.00

ľ	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS Any information copied from such Reports and		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 41 / 61 (check only one) X 11a 11b 11c 12 13 14 15 16 17
	Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	ne name and ad	dress of any political committee to	o solicit contributions from such committee.
∠ A .	Full Name (Last, First, Middle Initial) Mr. Kenneth R. Blumberg			Date of Receipt
	Mailing Address 1115 Olive			07 27 2010
	City	State	Zip Code	Transaction ID: 18450274
	Collinsville FEC ID number of contributing federal political committee.	C	62234-4116	Amount of Each Receipt this Period 250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼	Occupation Vice Pre		Contribution
– В.	Full Name (Last, First, Middle Initial) Mr. James E. Dickman Mailing Address 1825 Beech Grove	0 0		Date of Receipt 0 7 2 8 2 0 1 0
	City	State	Zip Code	Transaction ID: 18450275
	<u>Charlottesville</u>	VA	22911-2202	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼	Occupation Branch Maggregate		Contribution
_ C.	Full Name (Last, First, Middle Initial) Mr. Gary Wunderlich			Date of Receipt
-	Mailing Address 600 Poplar Avenue,	#150		07 27 2010
	City	State	Zip Code	Transaction ID: 18450290
	Memphis	TN	38105-4510	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Wunderlich Securities, In- c.	Occupation Chief Ex	n ecutive Oficer	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	Contribution
Γ	SUBTOTAL of Receipts This Page (optional)			1250.00

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	()	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 42 / 61 (check only one) X
Any information copied from such Reports an or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Securities Industry and Financial M	the name and add	dress of any political committee to	o solicit contributions from such committee.
Securities industry and Financial M	arkets Associa	IIOH FOIILIGAI ACLIOH GOITIIIII	lee .
Full Name (Last, First, Middle Initial) Mr. Randolph J. Sinz Mailing Address 1710 Menomonie S	tura et 114		Date of Receipt
Mailing Address 1710 Menomonie S	treet, #4		07 28 2010
City	State	Zip Code	Transaction ID: 18450304
Eau Claire	WI	54703-6284	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Branch M		
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. John D. Roberts	0 0		Date of Receipt
Mailing Address 3970 Asher Court			07 28 2010
City	State	Zip Code	Transaction ID: 18450307
San Jose	CA	95124-2603	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vi	n ce President/Investments	7
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Paul T. Putzel			Date of Receipt
Mailing Address 325 N. Meramec Av	venue, Apt. 15		0 7 2 8 2 0 1 0
City	State	Zip Code	Transaction ID: 18450317
Clayton	MO	63105-3780	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vi	n ce President/Investments	
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 250.00	Contribution
SUBTOTAL of Receipts This Page (optional			750.00

Γ	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 43 / 61 (check only one) X
\	Any information copied from such Reports and Sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	e name and ad	dress of any political committee to	o solicit contributions from such committee.
. ∠ a.	Full Name (Last, First, Middle Initial) Mr. Kraig A. Lange			Date of Receipt
۱.	Mailing Address 6720 Wynfield Terrace	e Drive		0 7 2 8 2 0 1 0
	City Saint Louis	State MO	Zip Code 63129-3972	Transaction ID: 18450320 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼		n e President, Manager Insura e Year-to-Date ▼ 250.00	Contribution
-	Full Name (Last, First, Middle Initial) Mr. Richard J. Himelfarb Mailing Address 3908 N. Charles St., A	Apt. 703		Date of Receipt 0 7 2 8 2 0 1 0
	City	State	Zip Code	Transaction ID: 18450321
	Baltimore FEC ID number of contributing federal political committee.	C	21218-1744	Amount of Each Receipt this Period
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼	+	e Vice President e Year-to-Date 2000.00	Contribution
_	Full Name (Last, First, Middle Initial) Mr. Paul D. Hefley Mailing Address 1883 Hecker Road	1		Date of Receipt 0 7 2 8 2 0 1 0
	City	State	Zip Code	Transaction ID: 18450322
	Owensville FEC ID number of contributing federal political committee.	MO C	65066-3203	Amount of Each Receipt this Period 500.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼	Occupation Branch Maggregate		Contribution
	SUBTOTAL of Receipts This Page (optional)			1750.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 44 / 61 (check only one) X
Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	e name and add	dress of any political committee to	o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Lawrence E. Turner Mailing Address 116 Southern Hills Driv	ve		Date of Receipt 0 7 28 2010
City Atlantic	State IA	Zip Code 50022-2583	Transaction ID: 18450326 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼		n sident/Branch Manager e Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Henry Otten Mailing Address 1135 Braircliff Trail			Date of Receipt 0 7 2 8 2 0 1 0
City	State	Zip Code	Transaction ID: 18450328
Brookfield	WI	53045-4611	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼		n ice President/Investments e Year-to-Date 250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. David K. Palagi			Date of Receipt
Mailing Address 303 Churn Creek Drive	Э		07 28 2010
City	State	Zip Code	Transaction ID: 18450330
Bozeman	MT	59715-7847	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼	Occupation Branch N Aggregate		Contribution
SUBTOTAL of Receipts This Page (optional)			750.00
TOTAL This Period (last page this line number	only)		

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 45 / 61 (check only one) X 11a
or f	y information copied from such Reports and S or commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements ma e name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
	Securities Industry and Financial Mark	kets Associa	tion Political Action Committ	ree
۱.	Full Name (Last, First, Middle Initial) Mr. Guy W. Richardson			Date of Receipt
	Mailing Address 3829 Stoneyridge Driv			07 28 2010
	City Dayton	State OH	Zip Code 45429-1649	Transaction ID: 18450351 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		500.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼		n ice President/Branch Manag e Year-to-Date ▼ 500.00	er Contribution
	Full Name (Last, First, Middle Initial) Mr. Lanis Noble Mailing Address 101 Hickory Cove			Date of Receipt
				07 28 7 2010
	City Brandon	State MS	Zip Code	Transaction ID: 18450352
	FEC ID number of contributing federal political committee.	C	39047-8361	Amount of Each Receipt this Period 250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupatio Branch N	Manager	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	Contribution
	Full Name (Last, First, Middle Initial) Mr. William P. Hatley			Date of Receipt
	Mailing Address P.O. Box 185			07 28 2010
	City	State	Zip Code	Transaction ID: 18450356
	Waterloo FEC ID number of contributing federal political committee.	C	62298-0185	Amount of Each Receipt this Period 250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupatio Senior V	n ice President/Investments	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	Contribution
SI	JBTOTAL of Receipts This Page (optional)			1000.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 46 / 61 (check only one) X 11a
Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	e name and add	dress of any political committee to	o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Rodney B. Krause			Date of Receipt
Mailing Address 52 Chaminade Drive			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 18450388
Creve Coeur	MO	63141-8446	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vi	n ce President	
Receipt For: Primary General	Aggregate	Year-to-Date ▼	Contribution
Other (specify) ▼		250.00	
Full Name (Last, First, Middle Initial) Mr. Jay Wilday			Date of Receipt
Mailing Address Tuscany Plaza 6312 South Fiddlers C			07 29 7 2010
City	State	Zip Code	Transaction ID: 18450393
Greenwood Village FEC ID number of contributing federal political committee.	C	80111-4945	Amount of Each Receipt this Period 250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼		n ce President/Branch Manag Year-to-Date ▼ 250.00	ger Contribution
Full Name (Last, First, Middle Initial)			B. (B.)
Mr. Morris A. Searcy Mailing Address 3356 Lagrange Rd			Date of Receipt 0 7 2 9 2 0 1 0
City	State	Zip Code	Transaction ID: 18450397
Shelbyville	KY	40065-9094	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For:		Investments	
Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.00	Contribution
			750.00

SCHEDULE A (I	•	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 47 / 61 (check only one) X
Any information copied fr or for commercial purpos NAME OF COMMITT	es, other than using the name and a	nay not be sold or used by any perso address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Securities Industry	and Financial Markets Assoc	iation Political Action Committ	tee
Full Name (Last, First	<u>'</u>		Date of Receipt
	811 North 11th Street		07 29 2010
City Phoenix	State AZ	Zip Code 85022-3138	Transaction ID: 18450399 Amount of Each Receipt this Period
FEC ID number of confederal political comm			250.00
Name of Employer Stifel, Nicolaus & Cor y, Incorporat Receipt For: Primary Other (specify)	Aggregation General Aggregation	resident/Investments ate Year-to-Date 250.00	Contribution
Full Name (Last, First Mr. Donald Van De Ste	ene		Date of Receipt
Mailing Address 31	05 McKail		07 28 2010
City	State	Zip Code	Transaction ID: 18450410
Bruce Twp FEC ID number of collection federal political comm		48065-1021	Amount of Each Receipt this Period 250.00
Name of Employer Stifel, Nicolaus & Cor y, Incorporat	npan- Occupa Senior	tion Vice President	
Receipt For: Primary Other (specify)	General	ate Year-to-Date ▼ 250.00	Contribution
Full Name (Last, First Mr. Drew Breittholz	Middle Initial)		Date of Receipt
Mailing Address 81	01 Falls Lane		07 28 2010
City	State	Zip Code	Transaction ID: 18450417
Parkland FEC ID number of confederal political comm		33067-0905	Amount of Each Receipt this Period 500.00
Name of Employer Stifel, Nicolaus & Cor y, Incorporat	npan- Occupa	tion nent Advisor	
Receipt For: Primary Other (specify)	General Aggrega	ate Year-to-Date ▼ 500.00	Contribution
SUBTOTAL of Receipts	This Page (optional)		1000.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 48 / 61 (check only one) X 11a 11b 11c 12 13 14 15 16 11
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mail	ne name and add	dress of any political committee to	o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Mr. Marvin E. Slaughter Mailing Address 619 Fairway Drive			Date of Receipt
City Florence	State SC	Zip Code 29501-5509	Transaction ID: 18450421 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20001 0000	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼		n ce President/Branch Manag Year-to-Date ▼ 250.00	er Contribution
Full Name (Last, First, Middle Initial) Mr. John D. Orcutt, Jr. Mailing Address 4665 Pebble Bay S.	•		Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 18450428
Vero Beach	FL	32963-1112	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼		n ce President/Branch Manag Year-to-Date ▼ 250.00	er Contribution
Full Name (Last, First, Middle Initial)			Patrof Parallel
Mr. Mark C. Matthes Mailing Address 3125 Southridge Parl	k Lane		Date of Receipt 0 7 2 9 2 0 1 0
City	State	Zip Code	Transaction ID: 18450431
Saint Louis	MO	63129-7033	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼		n ce President Year-to-Date ▼ 250.00	Contribution
SUBTOTAL of Receipts This Page (optional)	•		750.00

ITE	HEDULE A (FEC Form 3X) EMIZED RECEIPTS	N. 1 1	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 49 / 61 (check only one) X 11a
or fo	information copied from such Reports and S or commercial purposes, other than using the NAME OF COMMITTEE (In Full)	statements ma e name and ad	y not be sold or used by any person dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Securities Industry and Financial Mark	kets Associa	tion Political Action Commit	tee
	Full Name (Last, First, Middle Initial) Mr. Thomas F Price			Date of Receipt
	Mailing Address 585 Farview Avenue			07 30 7 2010
	City	State	Zip Code	Transaction ID: 18450435
Ī	Wyckoff FEC ID number of contributing ederal political committee.	NJ C	07481-1141	Amount of Each Receipt this Period 38.50
	Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	, ' 	n g Director e Year-to-Date ▼ 577.50	Contribution
3	Full Name (Last, First, Middle Initial) Ms Elizabeth Varley Mailing Address 8424 Frost Way			Date of Receipt
-	Dity	State	Zip Code	07 30 2010
	Annandale	VA	22003-2221	Transaction ID: 18450436 Amount of Each Receipt this Period
- I	FEC ID number of contributing ederal political committee.	C		96.20
	Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	, ' <u> </u>	n g Director e Year-to-Date ▼ 1443.00	Contribution
; <u> </u>	Full Name (Last, First, Middle Initial) Ms. Margaret Simmons Mailing Address 4743 Bradley Blvd.	1		Date of Receipt
_	Number 304	01-1-	7'- 0-4-	07 30 2010
	City Chevy Chase	State MD	Zip Code 20815	Transaction ID: 18450437 Amount of Each Receipt this Period
ı	FEC ID number of contributing ederal political committee.	С		96.20
_	Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	, ' 	ng Director e Year-to-Date ▼ 1443.00	Contribution
	BTOTAL of Receipts This Page (optional)	1		230.90

	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 50 / 61 (check only one) X 11a 11b 11c 12 13 14 15 16 17
A C	any information copied from such Reports and S r for commercial purposes, other than using the	tatements may name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	cets Associa	tion Political Action Committ	tee
۷.	Full Name (Last, First, Middle Initial) Mr. John Hallmark			Date of Receipt
	Mailing Address 1101 New York Ave, N 8th Floor	IW		07 30 7 2010
	City	State	Zip Code	Transaction ID: 18450439
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		38.50
	Name of Employer Securities Industry and Financial Mark	Occupatio Managin	n g Director	
	Receipt For: Primary General	Aggregate	e Year-to-Date ▼ 539.00	Contribution
_	Other (specify)		0.00	
3.	Full Name (Last, First, Middle Initial) Ms. N. Ellen McCarthy			Date of Receipt
	Mailing Address 1101 New York Ave, N 8th Floor			07 30 7 2010
	City	State	Zip Code	Transaction ID: 18450440
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		38.50
	Name of Employer Securities Industry and Financial Mark	Occupatio Managin	n g Director	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		539.00	Contribution
. –	Full Name (Last, First, Middle Initial) Mr. Kenneth E. Bentsen, Jr.			Date of Receipt
	Mailing Address 1101 New York Ave, N 8th Floor	IW		07 30 / Y Y Y Y
	City	State	Zip Code	Transaction ID: 18450441
	Washington	DC	20005-4269	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		192.35
	Name of Employer Securities Industry and Financial Mark	Occupatio EVP, Pul	n blic Policy and Advocacy	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 2885.25	Contribution
	SUBTOTAL of Receipts This Page (optional)	1		269.35

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	()	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 51 / 61 (check only one) X
Any information copied from such Reports an or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	d Statements may the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions
Securities Industry and Financial M	arkets Associa	tion Political Action Committ	tee
Full Name (Last, First, Middle Initial) Marin E. Gibson			Date of Receipt
City Port Monmouth	State NJ	Zip Code	Transaction ID: 18450442
FEC ID number of contributing federal political committee.	C	07758-1676	Amount of Each Receipt this Period 57.70
Name of Employer Securities Industry and Financial Mark	Occupation Managine	n g Director & Counsel	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 865.50	Contribution
Full Name (Last, First, Middle Initial) Mr. Carter McDowell			Date of Receipt
Mailing Address 1101 New York Ave, NW 8th Floor			07 30 2010
City	State	Zip Code	Transaction ID: 18450444
Washington	DC	20005-4269	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		100.00
Name of Employer Securities Industry and Financial Mark	Occupation Senior M	n anaging Director	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Mark Serby			Date of Receipt
Mailing Address 184 Columbia Tpk Unit 4-314			07 29 7 2010
City	State	Zip Code	Transaction ID: 18475733
Florham Park	NJ	07932	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
J1		Manager-Senior VP	
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.00	Contribution
SUBTOTAL of Receipts This Page (optiona	· ·		407.70

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 52 / 61 (check only one) X 11a 11b 11c 12 13 14 15 16 1
or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements may not be sold or used by any person name and address of any political committee to the same and address of any political Action Committees.	o solicit contributions from such committee.
Gecurities industry and i maneral wa	mets /15500lation / Ontion / Notion Commit	
Full Name (Last, First, Middle Initial) Mr. David J. Dewar, Jr. Mailing Address 1004 Havenwood Dri	Wo.	Date of Receipt
	ve	07 29 2010
City	State Zip Code	Transaction ID: 18475738
<u>Libertyville</u>	IL 60048-2527	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	250.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation	
y, Incorporat Receipt For:	Branch Manager Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	Aggregate Year-to-Date V	Contribution
Full Name (Last, First, Middle Initial) Mr. Eldon Miller		Date of Receipt
Mailing Address 60 South 6th Street,	#3000	07 29 2010
City	State Zip Code	Transaction ID: 18475741
<u>Minneapolis</u>	MN 55402-4430	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	2500.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vice President/Investments	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2500.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Todd Miller		Date of Receipt
Mailing Address 4814 Lakeview Drive		07 DDD / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 18475742
Edina	MN 55424-1522	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vice President/Investments	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 500.00	Contribution
	•	

	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate s for each categ Detailed Sumn	ory of the (FOR LINE NUMBER: PAGE 53 / 61 (check only one) X 11a 11b 11c 12 13 14 15 16 17
	Any information copied from such Reports and S or for commercial purposes, other than using the	Statements mage name and add	y not be sold or us dress of any politic	ed by any person al committee to s	for the purpose of soliciting contributions solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	kets Associa	tion Political Ac	tion Committe	е
Α.	Full Name (Last, First, Middle Initial) Mr. Michael A. Baumrin				Date of Receipt
	Mailing Address 577 4th Street				07 29 2010
	City	State	Zip Code		Transaction ID: 18475743
	Brooklyn	NY	11215-3008		Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C			500.00
	Name of Employer RBC Capital Markets	Occupatio Managin	n g Director		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼	500.00	Contribution
В.	Full Name (Last, First, Middle Initial) Mr. David Muscarella	1			Date of Receipt
	Mailing Address 122 Maumell Street				07 30 YYYYY 2010
	City	State	Zip Code		Transaction ID: 18475748
	<u>Hinsdale</u>	IL	60521-3525		Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	1 1 1 1		500.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupatio Managin	_{on} g Director		
	Receipt For:	Aggregate	e Year-to-Date		
	Primary General Other (specify) ▼			500.00	Contribution

SUBTOTAL of Receipts This Page (optional)	•	1000.00
TOTAL This Period (last page this line number only)		55019.85

A.

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 54 / 61 (check only one) 11a 11b X 11c 12 13 14 15 16 17
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full)		
Securities Industry and Financial Mai	rkets Association Political Action Committ	ee
Full Name (Last, First, Middle Initial) AEGON USA PAC		Date of Receipt
Mailing Address 1111 North Charles S MS #17	Street	07 21 YYYY 2010
City	State Zip Code	Transaction ID: 18440932
Baltimore	MD 21201	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00236414	4000.00
Name of Employer	Occupation	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 4000.00	Contribution

SUBTOTAL of Receipts This Page (optional)	>	4000.00
TOTAL This Period (last page this line number only)	•	4000.00

A.

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 55 / 61 (check only one) 11a 11b 11c 12 13 14 X 15 16 17
	nd Statements may not be sold or used by any pers the name and address of any political committee t	
NAME OF COMMITTEE (In Full) Securities Industry and Financial M	larkets Association Political Action Commi	ttee
Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets As Mailing Address 120 Broadway Floor 35 City	State Zip Code	Date of Receipt 0 7 2 9 2 0 1 0 Transaction ID: 18450446
New York FEC ID number of contributing federal political committee. Name of Employer	NY 10271 C Occupation	Amount of Each Receipt this Period 248.36
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 2796.14	Bank Fee Reimbursement

SUBTOTAL of Receipts This Page (optional)	•	248.36
TOTAL This Period (last page this line number only)	•	248.36

В.

C.

SCHEDULE B (FEC Form 3X) TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE (check only 21b 27	NUMBER: PAGE 56 / 61 y one) 22
Any Information copied from such Reports and Statem or for commercial purposes, other than using the name		y any person f	or the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets			
Full Name (Last, First, Middle Initial) PNC Bank			Transaction ID: 18398101 Date of Disbursement 0 7 0 6 7 2 0 1 0
Mailing Address 1400 K Street, NW			07 08 2010
City Washington	State Zip Code DC 20005		Amount of Each Disbursement this Period
Purpose of Disbursement Bank Fees		001	134.10
Candidate Name		Category/ Type	
Office Sought: House Disburse Senate President State: District:	ement For: Primary General Other (specify)		Bank Fees
Full Name (Last, First, Middle Initial)			Transaction ID: 18398102
PNC Bank			Date of Disbursement
Mailing Address 1400 K Street, NW			$\begin{bmatrix} \begin{smallmatrix} M & 7 & M \\ 0 & 7 \end{smallmatrix} \end{bmatrix} \ \begin{bmatrix} \begin{smallmatrix} D & D \\ 0 & 2 \end{smallmatrix} \end{bmatrix} \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y & Y \\ 2 & 0 & 1 & 0 \end{smallmatrix} \end{bmatrix} $
•	State Zip Code		Amount of Each Disbursement this Period
Washington Purpose of Disbursement	DC 20005		4.95
Bank Fees		001	
Candidate Name		Category/ Type	
Office Sought: House Disburse Senate President State: District:	ement For: Primary General Other (specify)		Bank Fees
Full Name (Last, First, Middle Initial)			Transaction ID: 18398103
PNC Bank			Date of Disbursement
Mailing Address 1400 K Street, NW			$\begin{bmatrix}\begin{smallmatrix}M\\07\end{smallmatrix}^M&\begin{smallmatrix}\\\end{smallmatrix}&\begin{smallmatrix}D\\02\end{smallmatrix}^D&\begin{smallmatrix}D\\2\end{smallmatrix}&\begin{smallmatrix}Y&Y&Y&Y\\2010\end{smallmatrix}^Y\\\end{smallmatrix}$
City Washington	State Zip Code DC 20005		Amount of Each Disbursement this Period
Purpose of Disbursement Bank Fees	Ī	001	23.00
Candidate Name		Category/ Type	
Office Sought: House Disburse Senate President	ement For: Primary General Other (specify)		Bank Fees
State: District:			

TOTAL This Period (last page this line number only)

В.

President

District:

age# 10931131201					
SCHEDULE B (FEC Form 3X)	Use separate schedule(s)		LINE NUMBER: PAGE 57 / 61		
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	X 21b 27	22 23 28a 28b	24 25 26 28c 29 30b	
Any Information copied from such Reports and State or for commercial purposes, other than using the nar					
NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets	Association Political Acti	on Committee)		
Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW			Transaction ID: Date of Disbursement M M M D D D O 7	ent	
City Washington	State Zip Code DC 20005		Amount of Each Di	sbursement this Period	
Purpose of Disbursement Bank Fees Candidate Name		001 Category/ Type		37.60	
Office Sought: Senate President State: Disburs	ement For: Primary General Other (specify) ▼	71-	Bank Fees		
Full Name (Last, First, Middle Initial) PNC Bank			Transaction ID: Date of Disbursement	ent	
Mailing Address 1400 K Street, NW			07 02	2010	
City Washington	State Zip Code DC 20005		Amount of Each Di	sbursement this Period	
Purpose of Disbursement Bank Fees		001		48.71	
Candidate Name		Category/ Type			
Office Sought: House Disburs Senate	sement For: Primary General		Bank Fees		

SUBTOTAL of Disbursements This Page (optional)	•	86.31
TOTAL This Period (last page this line number only)	•	248.36

Other (specify)

State:

		Use separate schedule(s)			eck onl	: NUMBE v one)	n.		L	PAGE	58/6	01
<u> </u>	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page		<u>`</u>	21b 27	22 28a	\Box	23 28b	2	4 3c	25 29	2 3
	y Information copied from such Reports and State for commercial purposes, other than using the nan											5
\rangle	NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets	Association Political Action	on C	om	ımitte	Э						
	Full Name (Last, First, Middle Initial) Allyson Schwartz For Congress Mailing Address P.O. Box 2232					Date		sburse		4248	4 2 0 1 0) ^Y
	City Jenkintown	State Zip Code PA 19046				Amou	unt of	Each	Disbu	rseme	nt this F	Period
	Purpose of Disbursement Contribution Candidate Name Rep. Allyson Y. Schwartz		Ca	011 tego	ory/					1.	500.00	
		ement For: 2010 Primary X General Other (specify)		Гуре	2	Contr	ributi	on				
	Full Name (Last, First, Middle Initial) Democratic Congressional Campaign Con Mailing Address 430 South Capitol Street					Date		sburse	_	4248	5 2 0 1 0) ^Y
	City Washington Purpose of Disbursement	State Zip Code DC 20003	_			Amou	unt of	Each	Disbu		nt this F	
	Contribution Candidate Name Democratic Congressional Campaign Con	ory/										
	Office Sought: House Senate President State: District:	ement For: Primary General Other (specify)				Contr	ributi	on				
	Full Name (Last, First, Middle Initial) Democratic Senatorial Campaign Commi	tee				Date		sburse	ement	4248	•	V
	Mailing Address 120 Maryland Avenue, I	IE				0 7	IVI /	2	3 /		ž o i c)
	City Washington				Amou	ınt of	Each	Disbu		nt this F		
	Purpose of Disbursement Contribution Candidate Name Democratic Senatorial Campaign Commi	Ca	011 tego	ory/					51	00.00		
		ement For: Primary General Other (specify)		Гуре	?	Contr	ributi	on				
Г							_		-		500.00	-

	CHEDOLE B (I LC I OIIII 3X)	Use separate schedule(s)		neck on	= NUMBE lv one)	- 1 1.			1 70	E 59/	01	
IT	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page			21b 27	22 28a	\Box	23 28b	—	24 28c	25 29	2 3	
	y Information copied from such Reports and St for commercial purposes, other than using the												
Λ	NAME OF COMMITTEE (In Full)			_									
V	Securities Industry and Financial Mark	ets Association Political Act	ion (Con	nmitte	е							
	Full Name (Last, First, Middle Initial)								_	44249	93		
	Chet Edwards For Congress							sburs		Y	YY	Y	
	Mailing Address PO Box 23273					0 7	M /	2	2 3	L.	ž 0 1	0	
	City Waco	State Zip Code TX 76702				Amou	unt of	Each	Disbu	ursem	ent this	Period	
	Purpose of Disbursement				-	† L.				. 1	0.000	0	
	Contribution Candidate Name		-	01									
	Rep. Chet Edwards			ateg Typ	ory/ e								
	Senate President	ursement For: 2010 Primary X General Other (specify) ▼				Conti	ributi	ion					
	State: TX District: 17												
	Full Name (Last, First, Middle Initial) Spratt For Congress Committee					Date	of Di	sburs	ement	44249		_	
	Mailing Address PO Box 830							$\begin{array}{c ccccccccccccccccccccccccccccccccccc$					
	City York	State Zip Code SC 29745				Amou	unt of	Each	Disbu		ent this		
	Purpose of Disbursement Contribution	011			<u>L.</u>		-		. 1	0.000	0		
	Candidate Name Rep. John McKee Spratt, Jr.	1	ateg Typ	ory/ e									
	Senate President	ursement For: 2010 Primary X General Other (specify) ▼	•			Conti	ributi	ion					
_	State: SC District: 05												
	Full Name (Last, First, Middle Initial) Carper For Senate						of Di	sburs	ement	44249			
	Mailing Address 19 East Commons B	vd Second Floor				0 ^M 7	M /	^D 2	23	Ľ	ž 0 1	0 ^Y	
	City New Castle	State Zip Code DE 19720				Amou	unt of	Each	Disbu	ursem	ent this	Period	
	Purpose of Disbursement Contribution	011		1	Ţ <u>L.</u>		-		. 1	0.000	0		
	Candidate Name Sen. Thomas R. Carper	Categ Typ											
	Office Sought: House X Senate President Disk	x Primary General Other (specify) ▼	'			Conti	ributi	ion					
_	State: DE District:												
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		O (FEC FOIIII	•		arate schedule(s)			R LINE eck only	NUMBE	R:		LP	AGE	60 / 6	1
		SBURSEMEN	_	Detailed S	category of the Summary Page		À	21b 27	22 28a		:3 !8b	24 280	-	25 29	
		ed from such Reports rposes, other than usi													
$\left.\right\rangle$	NAME OF COM	•													
<u>/</u>	Full Name (Last, Earl Pomeroy	First, Middle Initial) For Congress								saction of Disl		1844 ment	2500		
	Mailing Address	Post Office Box	x 9336							M /	^D 2		Y Ž	0 1 0	Y
	City Fargo			State ND	Zip Code 58106				Amou	ınt of E	Each	Disburs	ement	this P	erio
	Purpose of Disbu Contribution	rsement				Г	011		<u> </u>				100	00.00	
	Candidate Name Rep. Earl Pom	eroy					ateg Type	-							
	Office Sought:	X House Senate President	Disburse	ment For: Primary Other (spe	2010 X General				Contr	ibutic	n				
	State: ND Full Name (Last, Scott Murphy I	District: 01 First, Middle Initial) For Congress								sactio		1844	2503		
	Mailing Address	5 South Side D	r #224							M /	^D 2		Y Ž	0 Ĭ 0	Y
	City Clifton Park			State NY	Zip Code 12065				Amou	ınt of E	Each	Disburs	ement	this P	erio
	Purpose of Disbu Contribution	rsement		.2000	Г	011						200	00.00		
	Candidate Name Rep. Scott M. Murphy						ateg Type	-							
	Office Sought: State: NY	X House Senate President District: 20	Disburse X	ment For: Primary Other (spe	2010 General cify)				Contr	ibutio	n				
	Full Name (Last, Friends Of Jeb	First, Middle Initial) Hensarling							Date	of Disl	ourse		2504		
	Mailing Address	PO Box 820504	4						0 ^M 7	M /	^D 2	3 /	^Y ^Y 2	0 1 0	Y
	City Dallas			State TX	Zip Code 75382				Amou	ınt of E	Each	Disburs			-
	Purpose of Disbu Contribution	011				L.				300	00.00				
	Candidate Name Rep. Jeb Hens	arling	Category/ Type				-								
	Office Sought:	X House Senate President District: 05	Disburse	ment For: Primary Other (spe	2010 X General cify)				Contr	ibutic	n				
	State: TX														

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE (check only	NUMBER: PAGE 61 / 61
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 27	22 X 23 24 25 28 28c 29
Any Information copied from such Reports and Staten or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets	Association Political Action	on Committee	
Full Name (Last, First, Middle Initial) Judy Biggert For Congress			Transaction ID: 18442506 Date of Disbursement
Mailing Address P.O. Box 637			$\begin{bmatrix} \begin{smallmatrix} M & 7 & M \\ 0 & 7 & M \end{smallmatrix} \end{bmatrix} \ \begin{bmatrix} \begin{smallmatrix} D & D & D \\ 2 & 3 \end{smallmatrix} \end{bmatrix} \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y & Y \\ 2 & 0 & 1 & 0 \end{smallmatrix} \end{bmatrix}$
City Hinsdale	State Zip Code IL 60522		Amount of Each Disbursement this Period
Purpose of Disbursement Contribution		011	1000.00
Candidate Name Rep. Judy Biggert		Category/ Type	
Office Sought: X House Senate President State: IL District: 13	ement For: 2010 Primary X General Other (specify)		Contribution
Full Name (Last, First, Middle Initial) National Republican Congressional Comm		Transaction ID: 18442507 Date of Disbursement	
Mailing Address 320 First Street, SE		$\begin{bmatrix} \begin{smallmatrix} M & M \\ O & T \end{smallmatrix} \end{bmatrix} \ \ \begin{bmatrix} \begin{smallmatrix} D & D \\ D & Z \end{smallmatrix} \end{bmatrix} \ \ \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ Z & O & I & O \end{smallmatrix} \end{bmatrix} $	
City Washington	State Zip Code DC 20003		Amount of Each Disbursement this Perio
Purpose of Disbursement Contribution		011	5000.00
Candidate Name National Republican Congressional Comm	ittee	Category/ Type	
Office Sought: House Disburse Senate President State: District:	ement For: Primary General Other (specify)		Contribution
Full Name (Last, First, Middle Initial) National Republican Senatorial Committee		Transaction ID: 18442508 Date of Disbursement	
Mailing Address 425 2nd Street, NE			$\begin{bmatrix} \begin{smallmatrix} M & M \\ O & T \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} D & D \\ D & Z \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ Z & O & I & O \end{smallmatrix} \end{bmatrix}$
City Washington	State Zip Code DC 20002		Amount of Each Disbursement this Perio
Purpose of Disbursement Contribution	011		5000.00
Candidate Name National Republican Senatorial Committee		Category/ Type	
Office Sought: House Disburse Senate President	ement For: Primary Other (specify)		Contribution
State: District:			
SUBTOTAL of Disbursements This Page (optional)			11000.00
aga (optional)			31500.00